



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

170

First Named  
Inventor : Peter J. Stromquist

Appln. No.: 10/823,145

Filed : April 13, 2004

For : EXTRACTION, TRANSFORMATION  
AND LOADING DESIGNER MODULE  
OF A COMPUTERIZED FINANCIAL  
SYSTEM

Docket No.: M61.12-0628

Group Art Unit: 3621

Examiner:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, THIS

30<sup>th</sup> DAY OF NOVEMBER, 2006.

PATENT ATTORNEY

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of US Patents and Publications.

**TIME OF FILING**

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1.  1. Within three month of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

2.  after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

A.  a statement as specified in 37 C.F.R. § 1.97(e).  
B.  the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3.  after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

A.  a statement as specified in 37 C.F.R. § 1.97(e);  
B.  the petition fee set forth in 37 C.F.R. § 1.17(p).

#### **STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

x No fee is required.  
   Attached is a check in the amount of \$\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

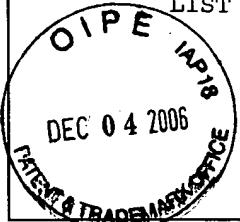
Respectfully submitted,

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FORM PTO-1449		Atty. Docket No.: M61.12-0628	Appl. No.: 10/823,145
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Peter J. Stromquist	
		Filing Date	Group Art:
		April 13, 2004	3621

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,631,382	10-2003	Kouchi et al.	707	102	
AB	2003/0208460	11-2003	Srikant et al.	707	1	
AC	6,668,253	12-2003	Thompson et al.	707	10	
AD	2004/0059651	03-2004	MaGuire et al.	705	030	
AE	2004/0064331	04-2004	Mayer et al.	705	001	
AF	2005/0144554	06-2005	Salmon et al.	715	501.1	
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes      No
	AL						
	AM						
	AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.